

FORM PTO-449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
593/004SERIAL NO.
09/93,512INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
Borillo et al.FILING DATE
Aug. 17, 2001GROUP
3763

U.S. PATENT DOCUMENTS

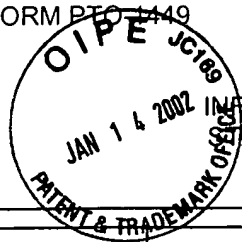
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	178,283	06/06/1876	French			
	1,967,318	07/24/1934	Monahan	128	227	
	3,844,302	10/29/1974	Klein	135	26	
	3,874,388	04/01/1975	King et al.	128	334 R	
	4,007,743	02/15/1977	Blake	128	334	
	4,341,218	07/27/1982	Ü	128	325	
	4,585,000	04/29/1986	Hershenson	128	345	
	4,603,693	08/05/1986	Conta et al.	128	305	
	4,665,906	05/19/1987	Jervis	128	92	
	4,710,192	12/01/1987	Liotta et al.	623	1	
	4,917,089	04/17/1990	Sideris	606	215	
	4,921,484	05/01/1990	Hillstead	604	104	
	5,041,090	08/20/1991	Scheglov et al.	604	101	
	5,041,093	08/20/1991	Chu	604	104	
	5,042,707	08/27/1991	Taheri	606	213	
	5,053,009	10/01/1991	Herzberg	604	104	
	5,064,435	11/12/1991	Porter	623	12	
	5,078,736	01/07/1992	Behl	623	1	
	5,108,420	04/28/1992	Marks	606	213	
	5,171,259	12/15/1992	Inoue	606	213	
	5,176,692	01/05/1993	Wilk et al.	606	151	
	5,192,301	03/09/1993	Kamiya et al.	606	213	
	5,256,146	10/26/1993	Ensminger et al.	604	104	
	5,258,042	11/02/1993	Mehta	623	66	
	5,284,488	02/08/1994	Sideris	606	213	
	5,306,234	04/26/1994	Johnson	604	49	
	5,334,217	08/02/1994	Das	606	213	
	5,350,399	09/27/1994	Erlebacher et al.	606	213	
	5,353,784	10/11/1994	Nady-Mohamed	120	20	

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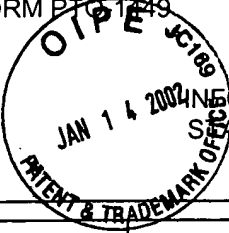
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5,370,657	12/06/1994	Irie	606	200	
5,375,612	12/27/1994	Cottenceau et al.	128	899	
5,417,699	05/23/1995	Klein et al.	606	144	
5,421,832	06/06/1995	Lefebvre	604	53	
5,425,744	06/20/1995	Fagan et al.	606	213	
5,433,727	07/18/1995	Sideris	606	213	
5,443,454	08/22/1995	Tanabe et al.	606	264	
5,451,235	09/19/1995	Lock et al.	606	213	
5,464,408	11/07/1995	Duc	606	108	
5,469,867	11/28/1995	Schmitt	128	898	
5,490,856	02/13/1996	Person et al.	606	139	
5,522,822	06/04/1996	Phelps et al.	606	151	
5,522,836	06/04/1996	Palermo	606	200	
5,527,322	06/18/1996	Klein et al.	606	144	
5,527,338	06/18/1996	Purdy	606	200	
5,591,196	01/07/1997	Marin et al.	606	198	
5,944,738	08/31/1999	Anplatz, et al	606	213	
5,980,514	11/09/1999	Kupiecki, et al.	606	108	
5,614,204	03/25/1997	Cochrum	424	423	
5,634,936	06/03/1997	Linden et al.	606	213	
5,634,942	06/03/1997	Chevillon et al.	623	1	
5,637,097	06/10/1997	Yoon	604	174	
5,643,292	07/01/1997	Hart	606	144	
5,649,953	07/22/1997	Lefebvre	606	200	
5,662,671	09/02/1997	Barbut et al.	606	170	
5,669,933	09/23/1997	Simon et al.	600	200	
5,681,347	10/28/1997	Cathcart et al.	606	200	
5,690,671	11/25/1997	McGurk et al.	606	200	
5,693,067	12/02/1997	Purdy	606	200	
5,695,525	12/09/1997	Mulhauser et al.	623	11	
5,702,421	12/30/1997	Schneidt	606	213	
5,709,224	01/20/1998	Behl et al.	128	898	

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FORM PT 91749		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 593/004		SERIAL NO. 09/93,512	
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						FILING DATE Aug. 17, 2001	
	5,709,707	01/20/1998	Lock et al.	606	213		
	5,725,552	03/10/1998	Kotula et al.	606	213		
	5,725,568	03/10/1998	Hastings	623	1		
	5,733,294	03/31/1998	Forber et al.	606	151		
	5,735,290	04/07/1998	Sterman et al.	128	898		
	5,749,883	05/12/1998	Halpern	606	159		
	5,749,894	05/12/1998	Engelson	606	213		
	5,766,219	06/16/1998	Horton	606	191		
	5,769,816	06/23/1998	Barbut et al.	604	96		
	5,776,097	07/07/1998	Massoud	604	49		
	5,782,860	07/21/1998	Epstein et al.	606	213		
	5,810,874	09/22/1998	Lefebvre	606	200		
	5,823,198	10/20/1998	Jones et al.	128	899		
	5,830,228	11/03/1998	Knapp et al.	606	195		
	5,836,913	11/17/1998	Orth et al.	604	107		
	5,836,968	11/17/1998	Simon et al.	606	200		
	5,846,260	12/08/1998	Maahs	606	200		
	5,846,261	12/08/1998	Kotula et al.	606	213		
	5,849,005	12/15/1998	Garrison et al.	606	1		
	5,851,232	12/22/1998	Lois	623	1		
	5,855,597	01/05/1999	Jayaraman	623	1		
	5,865,791	02/02/1999	Whayne et al.	604	49		
	5,865,802	02/02/1999	Yoon et al.	604	104		
	5,868,708	02/09/1999	Hart et al.	604	104		
	5,876,367	03/02/1999	Kaganov et al.	604	8		
	5,882,340	03/16/1999	Yoon	604	164		
	5,885,258	03/23/1999	Sachdeva et al.	604	281		
	5,895,399	04/20/1999	Barbut et al.	606	159		
	5,904,703	05/18/1999	Gilson	606	213		
	5,906,207	05/25/1999	Shen	128	898		
	5,910,154	06/08/1999	Tsugita et al.	606	200		
	5,911,734	06/15/1999	Tsugita et al.	606	200		

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5,916,236	06/29/1999	Muijs Van de Moer et al.	606	213	
5,928,192	07/27/1999	Maahs	604	96	
5,928,260	07/27/1999	Chin et al.	606	200	
5,935,147	08/10/1999	Kensey et al.	606	213	
5,935,148	08/10/1999	Villar et al.	606	213	
5,941,249	08/24/1999	Maynard	128	898	
5,947,997	09/07/1999	Pavcnik et al.	606	213	
5,951,589	09/14/1999	Epstein et al.	606	213	
5,954,694	09/21/1999	Sunseri	604	96	
5,957,940	09/28/1999	Tanner et al.			
5,976,174	11/02/1999	Ruiz	606	213	
5,980,555	11/09/1999	Barbut et al.	606	200	
5,989,281	11/23/1999	Barbut et al.	606	200	
5,993,469	11/30/1999	McKenzie et al.	606	159	
5,997,557	12/07/1999	Barbut et al.	606	159	
6,007,523	12/28/1999	Mangosong	604	284	
6,007,557	12/28/1999	Ambrisco et al.	606	200	
6,010,517	01/04/2000	Baccaro	606	151	
6,010,522	01/04/2000	Barbut et al.	606	200	
6,024,754	02/15/2000	Engelson	606	213	
6,024,755	02/15/2000	Addis	606	213	
6,024,756	02/15/2000	Huebsch et al.	606	213	
6,027,520	02/22/2000	Tsugita et al.	606	200	
6,033,420	03/07/2000	Hahnen	606	185	
6,042,598	03/28/2000	Tsugita et al.	606	200	
6,048,331	04/11/2000	Tsugita et al.	604	96	
6,051,014	04/18/2000	Jang	606	200	
6,051,015	04/18/2000	Maahs	606	200	
6,056,720	05/02/2000	Morse	604	96	
6,068,621	05/30/2000	Balceta et al.	604	500	
6,074,357	06/13/2000	Kaganov et al.	604	8	
6,079,414	06/27/2000	Roth	128	898	

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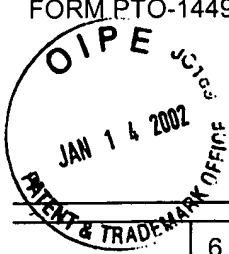
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6,080,182	06/27/2000	Shaw et al.	606	213	
6,080,183	06/27/2000	Tsugita et al.	606	213	
6,083,239	07/04/2000	Addis	606	200	
6,132,438	10/17/2000	Fleischman et al	606	139	
6,136,016	10/24/2000	Barbut et al.	606	200	
6,139,527	10/31/2000	Laufer et al.	604	114	
6,152,144	11/28/2000	Lesh et al.	128	898	
6,231,561 B1	05/15/2001	Frazier et al	604	500	
6,161,543	12/19/2000	Cox et al.	128	898	
5,037,810	08/6/1991	Sabila Jr.	514	56	

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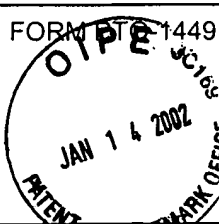
FOREIGN PATENT DOCUMENTS

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	WO 00/27292	05/18/2000	PCT	A61B	17/08		
	WO 93/13712	07/22/1993	PCT	A61B	17/00		
	WO 01/21247	03/29/2001	PCT	A61M	29/00		
	WO 98/17187	04/30/1998	PCT	A61B	17/36, 8/00		
	WO 97/28749	08/14/1997	PCT	A61B	17/068		
	WO 99/07289	02/18/1999	PCT	A61B	17/00		
	WO 99/08607	02/25/1999	PCT	A61B	17/12		
	WO 99/30640	06/24/1999	PCT	A61F	2/06		

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		APPLICANT Borillo et al.	
		FILING DATE Aug. 17, 2001	GROUP 3763

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	[REDACTED]
	Cragg et al., "Nonsurgical Placement of Arterial Endoprosthesis: A New Technique Using Nitinol Wire," <u>Radiology</u> Vol. 147, No. 1, Pages 261-263, April 1983.
	Cragg, et al., "A New Percutaneous Vena Cava Filter", <u>ALJ</u> , 141: 601-604, September 1983.
	Sugita et al., "Nonsurgical Implantation of a Vascular Ring Prosthesis Using Thermal Shape Memory Ti/Ni Alloy (Nitinol Wire)," <u>Trans. Am. Soc. Artif. Intern. Organs</u> , Vol. XXXII, 30-34, 1986.
	Ruttenberg, "Nonsurgical Therapy of Cardiac Disorders, <u>Pediatric Consult</u> , Vol. 5, No. 2, pages not numbered, 1986.
	Rashkind et al., "Nonsurgical Closure of patent ductus arteriosus: clinical application of the Rashkind PDA Occluder System," <u>Circulation</u> 75, No. 3, 583-592, 1987.
	Lock et al., "Transcatheter Umbrella Closure of Congenital Heart Defects," <u>Circulation</u> , Vol. 75, No. 3, 593-599, 1987.
	Wessel, et al. "Outpatient Closure of the patent ductus arteriosus," <u>Circulation</u> , Vol. 77, No. 5, 1068-1071, 1988.
	Lock et al., "Transcatheter Closure of Atrial Septal Defects," <u>Circulation</u> , Vol. 79, No. 5, 1091-1099, May 1989.

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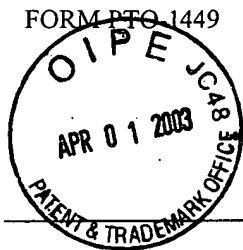
APPLICANT
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6335

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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<i>W</i>	WO 98/02100	01/22/1998	PCT	A61B	17/12	<i>[initials]</i>	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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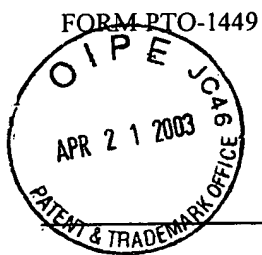
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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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	WO 97/21402	06/19/1997	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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